

# Targeting Mild Behavioral Impairment to Delay or Prevent Dementia A Precision Medicine Approach

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JOHNS HOPKINS  
M E D I C I N E

# Learning objectives

- Propose a novel approach to Precision Medicine
- Overview the MBI construct and its outcomes
- Lay the foundation for an effort to prevent AD dementia by targeting MCI+MBI

# Illustrative patient

## Mr. MCM

- 83 year old man
- College graduate, retired military
- Excellent health, no meds
- Behavioral changes for 3-4 years: MBI
  - Irritable, moody, seeking attention suspicious of wife, at times verbally aggressive
- Cognitive complaints for 1-2 years: MCI
  - Repeats, less disorganized, easily mixed up
- Treated with escitalopram for ~12mos

ΟΝΟΜΑ: ΜΜ

ΗΛΙΚΙΑ: 83

ΕΚΠΑΙΔΕΥΣΗ: U

ΦΥΛΟ: M

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ΣΥΝΟΛΟ

22/30

ΟΝΟΜΑ:

ΗΛΙΚΙΑ: 84

ΕΚΠΑΙΔΕΥΣΗ:

ΗΜΕΡΟΜΗΝΙΑ: 23/1/2023

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ΣΥΝΟΛΟ

25/30

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R01 AG031348

P30 AG066507

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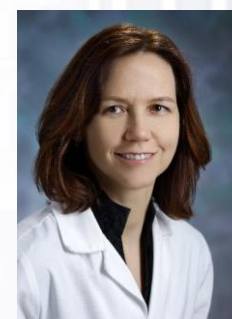
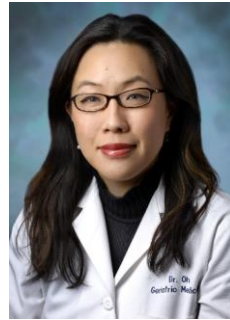


# Acknowledgements

- Zahinoor Ismail, MD, FRCPC
- Paul Rosenberg, MD
- Anton Porsteinsson, MD

# Richman PMCoE Brain Trust

- **Clinical research:** Lyketsos, Rosenberg, Oh, Kapogiannis, Yasar
- **Neuropsychology:** Vannorsdall
- **Large cohort management:** Samus, Lyketsos
- **Treatment development:** Lyketsos, Rosenberg, Yasar
- **Brain imaging:** Smith, Nowrangi, Oishi
- **Genetics & genomics:** Avramopoulos
- **Induced Pluripotent stem Cells (iPSC):** Machairaki
- **Extracellular vesicles (EVs):** Kapogiannis, Machairaki, Witwer
- **Analysis machine learning:** Moore, Leoutsakos, Zandi, APL Team





# Precision Medicine in a nutshell

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**The right treatment for the  
right patient at the right time**

# CURRENT PARADIGM

## The Amyloid Hypothesis

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Ab 1-42 oligomers



Tangles



Neuronal Loss



Circuit Loss



Symptoms

# Where are we in 2021?

After 40 years of research we have:

- Four approved symptomatic therapies
- None in the last 17 years
- NO clear disease modifying therapies

# Failures of “anti-amyloid” therapies

## when given to all comers with *clinical* AD symptoms

### RESEARCH

OPEN ACCESS

Check for updates

### Effect of reductions in amyloid levels on cognitive change in randomized trials: instrumental variable meta-analysis

Sarah F Ackley,<sup>1</sup> Scott C Zimmerman,<sup>1</sup> Willa D Brenowitz,<sup>1,2</sup> Eric J Tchetgen Tchetgen,<sup>3</sup> Audra L Gold,<sup>1</sup> Jennifer J Manly,<sup>4</sup> Elizabeth Rose Mayeda,<sup>5</sup> Teresa J Filshie,<sup>6</sup> Melinda C Power,<sup>7</sup> Fanny M Elahi,<sup>8</sup> Adam M Brickman,<sup>4</sup> M Maria Glymour<sup>1</sup>

#### ABSTRACT OBJECTIVE

To evaluate trials of drugs that target amyloid to determine whether reductions in amyloid levels are likely to improve cognition.

#### DESIGN

Instrumental variable meta-analysis.

#### SETTING

14 randomized controlled trials of drugs for the prevention or treatment of Alzheimer's disease that targeted an amyloid mechanism, identified from ClinicalTrials.gov.

#### POPULATION

Adults enrolled in randomized controlled trials of amyloid targeting drugs. Inclusion criteria for trials vary, but typically include adults aged 50 years or older with a diagnosis of mild cognitive impairment or Alzheimer's disease, and amyloid positivity at baseline.

#### MAIN OUTCOME MEASURES

Analyses included trials for which information could be obtained on both change in brain amyloid levels measured with amyloid positron emission tomography and change in at least one cognitive test score reported for each randomization arm.

#### RESULTS

Pooled results from the 14 randomized controlled trials were more precise than estimates from any single trial. The pooled estimate for the effect of reducing amyloid levels by 0.1 standardized uptake value ratio units was an improvement in the mini-mental state examination score of 0.03 (95% confidence interval = 0.06 to 0.1) points. This study provides a web application that allows for the re-estimation of the results when new data become available and illustrates the magnitude of the new evidence that would be necessary to achieve a pooled

estimate supporting the benefit of reducing amyloid levels.

#### CONCLUSIONS

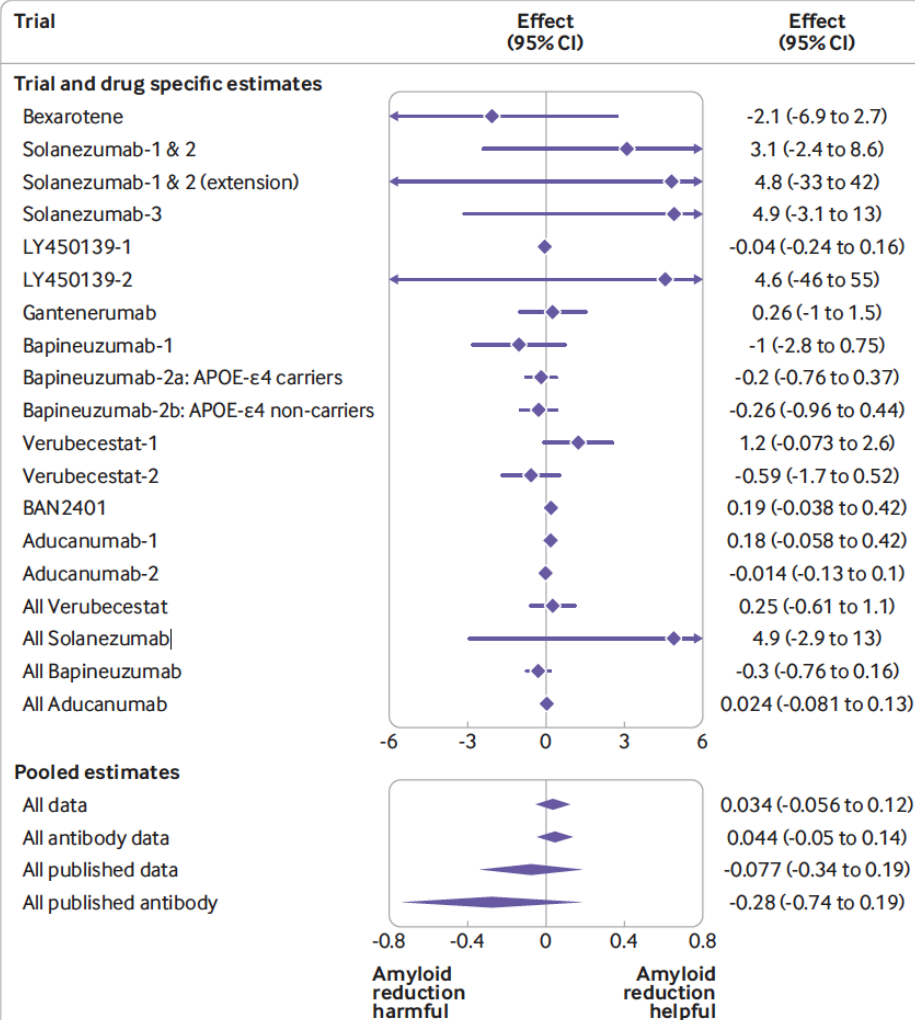
Pooled evidence from available trials reporting both reduction in amyloid levels and change in cognition suggests that amyloid reduction strategies do not substantially improve cognition.

#### Introduction

Amyloid plaques and oligomers are hypothesized to cause a cascade of pathological events resulting in cognitive decline in Alzheimer's disease.<sup>1-3</sup> Motivated by the amyloid cascade hypothesis, a primary aim of many new treatments for the prevention or management of Alzheimer's disease has been to reduce amyloid  $\beta$  levels in the brain.<sup>4</sup> Although the presence of amyloid plaques and oligomers in the brain is highly correlated with the progression of Alzheimer's disease,<sup>5,6</sup> the mechanisms by which amyloid might mediate neuronal pathology are currently not well understood.<sup>7</sup> To date, no anti-amyloid treatments have progressed sufficiently to receive approval from the Food and Drug Administration (the regulatory agency for pharmaceuticals in the United States).<sup>8</sup> Drugs have targeted various amyloid species—amyloid plaques, amyloid oligomers, and soluble oligomers—and have been performed in populations with mild to moderate Alzheimer's disease, as well as earlier stages of disease (prodromal Alzheimer's disease).<sup>9</sup> Most trials targeting amyloid failed to produce positive results in either early or late stages of the disease. The negative findings from these trials have prompted skepticism about amyloid's role in neuronal disease, and many have instead argued that amyloid could be a marker for other disease processes and therefore is not a viable drug target.<sup>10,11</sup>

No single trial has, however, provided conclusive

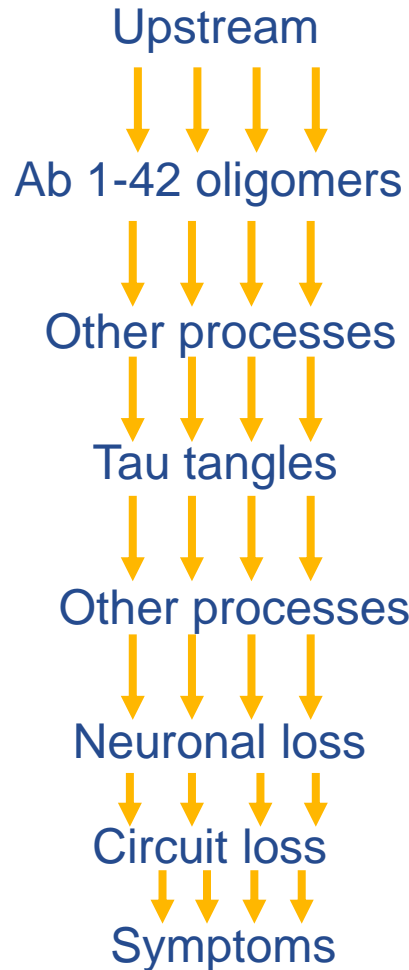
BMJ: first published as 10.1136/bmj.n156 on 25 February 2021. Downloaded from <http://www.bmj.com/> on 16 March 2021 at Johns Hopkins



# Shifting the paradigm

it's not one disease: different paths for different people

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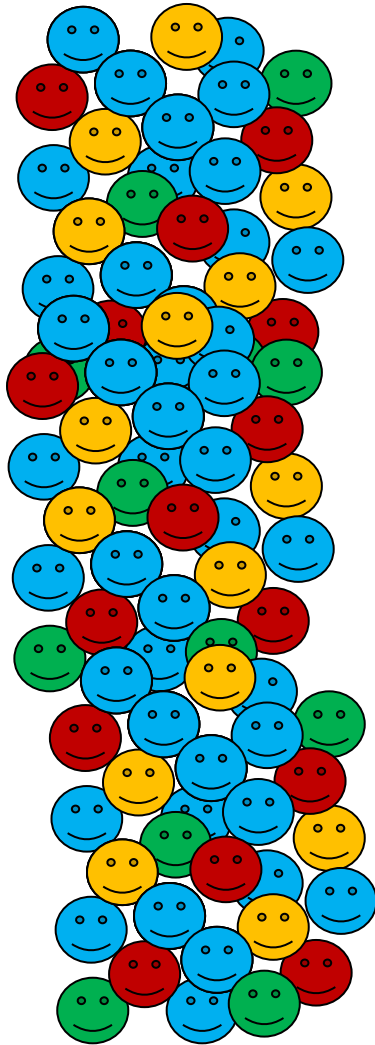
## Upstream

- Genetics
- Reserve
- Trauma
- Stress

## Other processes

- Inflammation
- Chronic stress
- Insulin resistance
- Brain hypoperfusion
- Aging linked NT loss





## Genetic

Polygenic risk: overall  
Polygenic risk: system

## Stem Cells

Neuronal function  
Effect of treatments

## Imaging

Structure  
Function

## Physiology

Inflammation  
Brain perfusion  
Exosomes

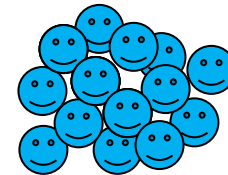
## Clinical

Cognition  
Behavior

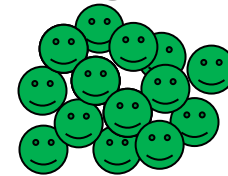
## Subgroup 1



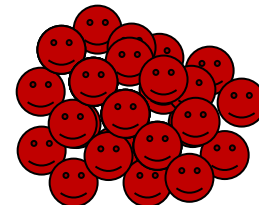
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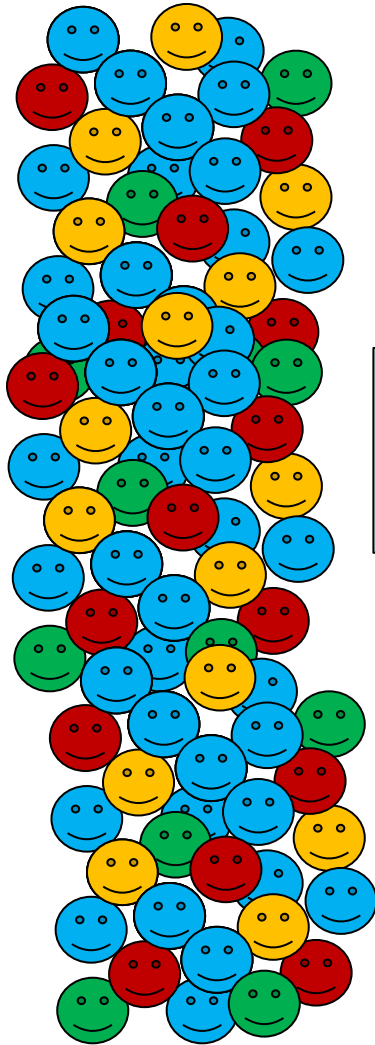


## Subgroup 3



## Subgroup 4





## Genetic

Polygenic risk: overall  
Polygenic risk: system

## Stem Cells

Neuronal function  
Effect of treatments

## Imaging

Structure  
Function

## Physiology

Inflammation  
Brain perfusion  
Exosomes

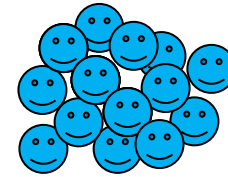
## Clinical

Cognition  
Behavior

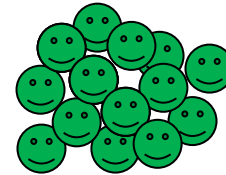
## Vascular



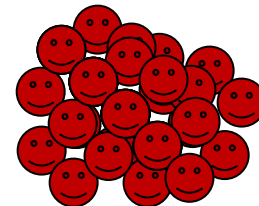
## Subgroup 2



## Subgroup 3



## Subgroup 4

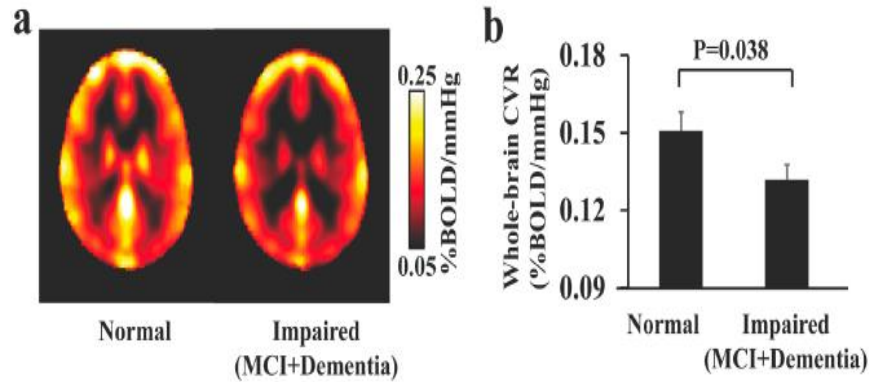


# Vascular Subgroup project

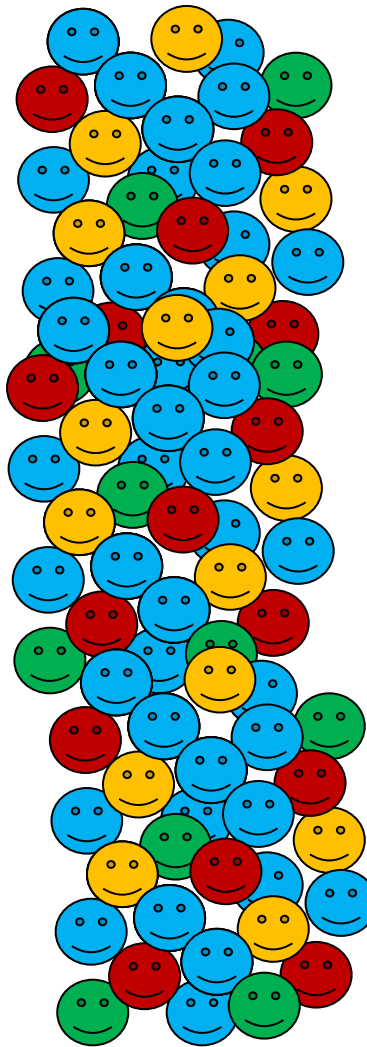
Identify people where vascular factors play major role

**Technique:** brain vessel reactivity with inhaled CO<sub>2</sub>

- Healthy perfusion
- Hypoperfusion



Improve CVR in people with low CVR using a widely available safe medication (atorvastatin)



## Genetic

Polygenic risk: overall  
Polygenic risk: system

## Stem Cells

Neuronal function  
Effect of treatments

## Imaging

Structure  
Function

## Physiology

Inflammation  
Brain perfusion  
Exosomes

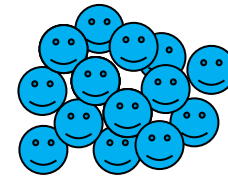
## Clinical

Cognition  
Behavior

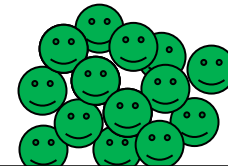
## Vascular



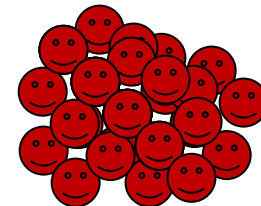
## Inflammatory



## Metabolic



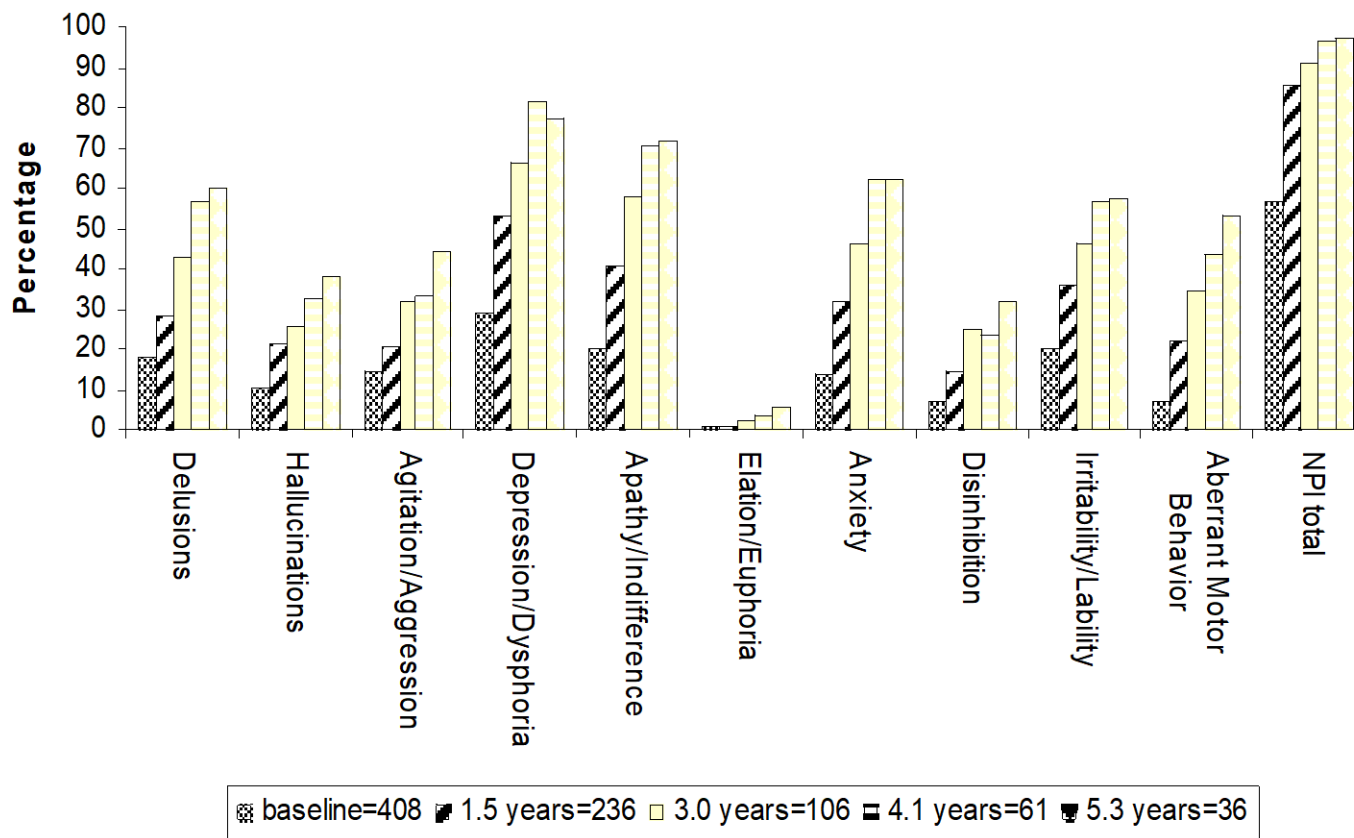
## Early NPS/serotonergic



# NPS are UNIVERSAL in Dementia

## Cache County Dementia Progression Study

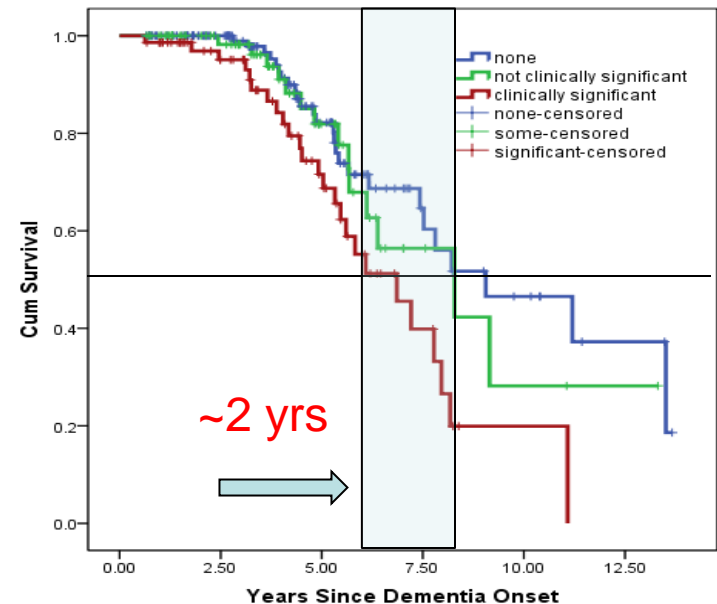
Five-year period prevalence of NPI symptoms (NPI>0)





# NPS are “bad” for patients & caregivers

- Greater ADL impairment<sup>1</sup>
- Worse quality of life<sup>2</sup>
- Earlier institutionalization<sup>3</sup>
- Major source of burden<sup>4</sup>
- Higher costs<sup>5</sup>
- Faster to severe dementia<sup>6</sup>
- Accelerated mortality<sup>6</sup>



<sup>1</sup>Lyketsos et al, 1997; <sup>2</sup>Gonzales-Salvador et al, 1999; <sup>3</sup>Steele et al, 1990;

<sup>4</sup>Lyketsos et al, 1999; <sup>5</sup> Murman et al, 2002; <sup>6</sup> Peters et al, 2015

# NPS in CIND/MCI

## faster conversion to dementia

### Neuropsychiatric Symptoms as Risk Factors for Progression From CIND to Dementia: The Cache County Study

M. E. Peters, M.D., P. B. Rosenberg, M.D., M. Steinberg, M.D., M. C. Norton, Ph.D., K. A. Welsh-Bohmer, Ph.D., K. M. Hayden, Ph.D., J. Breitner, M.D., M.P.H., J. T. Tschanz, Ph.D., C. G. Lyketsos, M.D., M.H.S., and the Cache County Investigators

**Objectives:** To examine the association of neuropsychiatric symptom (NPS) severity with risk of transition to all-cause dementia, Alzheimer disease (AD), and vascular dementia (VaD). **Design:** Survival analysis of time to dementia, AD, or VaD onset. **Setting:** Population-based study. **Participants:** 230 participants diagnosed with cognitive impairment, no dementia (CIND) from the Cache County Study of Memory Health and Aging were followed for a mean of 3.3 years. **Measurements:** The Neuropsychiatric Inventory (NPI) was used to quantify the presence, frequency, and severity of NPS. Chi-squared statistics, t-tests, and Cox proportional hazards ratios were used to assess associations. **Results:** The conversion rate from CIND to all-cause dementia was 12% per year, with risk factors including an APOE  $\epsilon 4$  allele, lower Mini-Mental State Examination, lower 3MS, and higher CDR sum-of-boxes. The presence of at least one NPS was a risk factor for all-cause dementia, as was the presence of NPS with mild severity. Nighttime behaviors were a risk factor for all-cause dementia and of AD, whereas hallucinations were a risk factor for VaD. **Conclusions:** These data confirm that NPS are risk factors for conversion from CIND to dementia. Of special interest is that even NPS of mild severity are a risk for all-cause dementia or AD. (Am J Geriatr Psychiatry 2012; 00:1-9)

**Key Words:** agitation, anxiety, Cache County, CIND, dementia, depression, MCI, NPS, NPI

### The Association of Neuropsychiatric Symptoms in MCI with Incident Dementia and Alzheimer Disease

Paul B. Rosenberg, M.D., Michelle M. Mielke, Ph.D., Brian S. Appleby, M.D., Esther S. Oh, M.D., Yonas E. Geda, M.D., Constantine G. Lyketsos, M.D. M.H.S.

**Objectives:** Individuals with mild cognitive impairment (MCI) are at high risk of developing dementia and/or Alzheimer disease (AD). Among persons with MCI, depression and anxiety have been associated with an increased risk of incident dementia. We examined whether neuropsychiatric symptoms in MCI increased the risk of incident dementia (all-cause) and incident AD. **Design:** Longitudinal cohort study followed annually (median: 1.58 years). **Setting:** National Alzheimer's Coordinating Center database combining clinical data from 29 Alzheimer's Disease Centers. **Participants:** A total of 1,821 participants with MCI. **Measurements:** 1) Progression to dementia (all-cause) or AD, 2) Neuropsychiatric Inventory Questionnaire (NPI-Q), 3) Geriatric Depression Scale (GDS), 4) Clinical Dementia Rating Global Score and Sum of Boxes, and 5) Mini-Mental State Examination (MMSE). The association of covariates with risk of incident dementia or AD was evaluated with hazard ratios (HR) determined by Cox proportional-hazards models adjusted for age, ethnicity, Clinical Dementia Rating Global Score and Sum of Boxes, and MMSE. **Results:** A total of 527 participants (28.9%) progressed to dementia and 454 (24.9%) to AD. Baseline GDS  $> 0$  was associated with an increased risk of incident dementia (HR: 1.47, 95% CI: 1.17-1.84) and AD (HR: 1.45, 95% CI: 1.14-1.83). Baseline NPI  $> 0$  was associated with an increased risk of incident dementia (HR: 1.37, 95% CI: 1.12-1.66) and AD (HR: 1.35, 95% CI: 1.09-1.66). **Conclusions:** Neuropsychiatric symptoms in MCI are associated with significantly an increased risk of incident dementia and AD. Neuropsychiatric symptoms may be among the earliest symptoms of preclinical stages of AD and targeting them therapeutically might delay transition to dementia. (Am J Geriatr Psychiatry 2013; 21:685-695)

**Key Words:** Alzheimer disease, dementia, depression, longitudinal study, mild cognitive impairment, neuropsychiatric symptoms

# NPS cognitively unimpaired individuals faster conversion to MCI

## Article

### Baseline Neuropsychiatric Symptoms and the Risk of Incident Mild Cognitive Impairment: A Population-Based Study

Geda, M.D., M.Sc.

O. Roberts, M.B., Ch.B.

M. Mielke, Ph.D.

Knopman, M.D.

H. Christianson, B.Sc.

J. Pankratz, Ph.D.

F. Boeve, M.D.

Johnson, M.D.

Angarola, M.D.

J. Petersen, M.D., Ph.D.

J. Rocca, M.D., M.P.H.

**Objective:** The authors conducted a prospective cohort study to estimate the risk of incident mild cognitive impairment in cognitively normal elderly (aged  $\geq 70$  years) individuals with or without neuropsychiatric symptoms at baseline. The research was conducted in the setting of the population-based Mayo Clinic Study of Aging.

**Method:** A classification of normal cognitive aging, mild cognitive impairment, and dementia was adjudicated by an expert consensus panel based on published criteria. Hazard ratios and 95% confidence intervals were computed using Cox proportional hazards model, with age as a time scale. Baseline Neuropsychiatric Inventory Questionnaire data were available for 1,587 cognitively normal persons who underwent at least one follow-up visit.

**Results:** The cohort was followed to incident mild cognitive impairment ( $N=365$ ) or censoring variables ( $N=179$ ) for a median of 5 years. Agitation (hazard ratio=3.06, 95% CI=1.89–4.93), apathy (hazard ratio=2.26, 95% CI=1.49–3.41), anxiety (hazard ratio=1.87, 95%

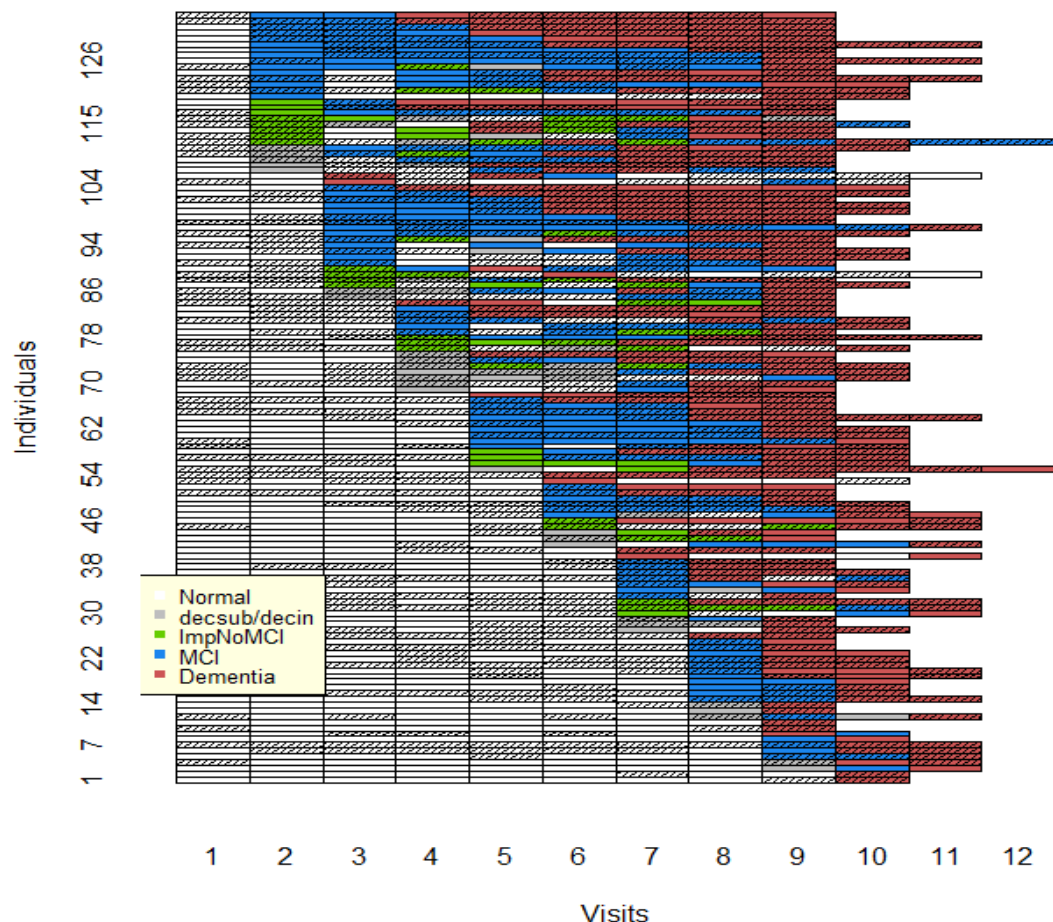
CI=1.28–2.73), irritability (hazard ratio=1.31–2.58), and depression (hazard ratio=1.63, 95% CI=1.23–2.16), all increased risk for later incident mild cognitive impairment. Delusion and hallucination did not. A secondary analysis, limited by the small number of individuals, showed that euphoria, and nighttime behaviors were predictors of nonamnestic mild cognitive impairment but not amnestic mild cognitive impairment. By contrast, delusion predicted amnestic mild cognitive impairment (hazard ratio=1.74, 95% CI=1.01–2.97), but not nonamnestic mild cognitive impairment.

**Conclusions:** An increased risk of incident mild cognitive impairment was associated with baseline neuropsychiatric symptoms in community-dwelling elderly individuals who had nonpsychotic psychiatric symptoms at baseline. These baseline psychiatric symptoms were of similar or greater magnitude than genetic and clinical risk factors in increasing the risk of incident mild cognitive impairment.

(*Am J Psychiatry* 2014; 171:1000–1008)

# In fact, over half of people who develop dementia develop NPS BEFORE cognitive symptoms

Cognitive Ability Trend for each individual



## Sequencing of NPS Presence with Cognitive Diagnosis (overall N=1,980)

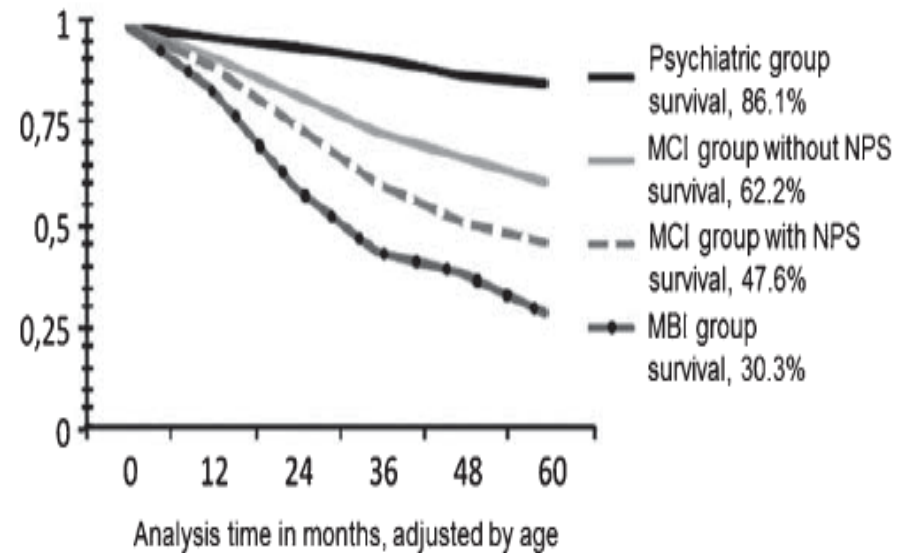
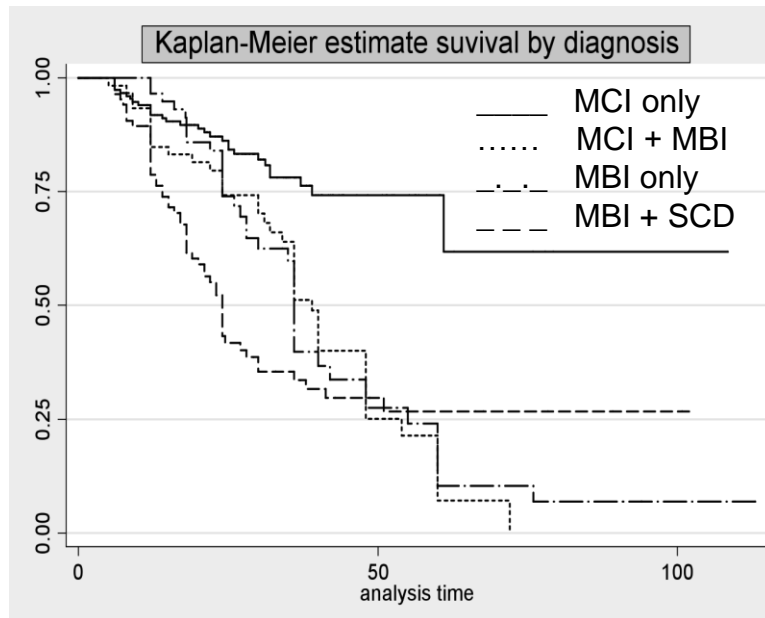
Normal → MCI  
NPS Before MCI: 55%

Normal → Dementia  
NPS Before MCI 55%

Normal → Dementia (no MCI)  
NPS Before Dementia 64%

# Mild Behavioral Impairment (MBI)

faster conversion to dementia than MCI alone





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ISTAART research diagnostic criteria for MBI

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1. Changes in behavior or personality observed by patient, informant, or clinician, starting later in life (age  $\geq 50$  years) and persisting at least intermittently for  $\geq 6$  months. These represent clear change from the person's usual behavior or personality as evidenced by at least one of the following:
    - a. Decreased motivation (e.g., apathy, asponaneity, indifference)
    - b. Affective dysregulation (e.g., anxiety, dysphoria, changeability, euphoria, irritability)
    - c. Impulse dyscontrol (e.g., agitation, disinhibition, gambling, obsessiveness, behavioral perseveration, stimulus bind)
    - d. Social inappropriateness (e.g., lack of empathy, loss of insight, loss of social graces or tact, rigidity, exaggeration of previous personality traits)
    - e. Abnormal perception or thought content (e.g., delusions, hallucinations)
  2. Behaviors are of sufficient severity to produce at least minimal impairment in at least one of the following areas:
    - a. Interpersonal relationships
    - b. Other aspects of social functioning
    - c. Ability to perform in the workplace

The patient should generally maintain his/her independence of function in daily life, with minimal aids or assistance.
  3. Although comorbid conditions may be present, the behavioral or personality changes are not attributable to another current psychiatric disorder (e.g., generalized anxiety disorder, major depression, manic or psychotic disorders), traumatic or general medical causes, or the physiological effects of a substance or medication.
  4. The patient does not meet criteria for a dementia syndrome (e.g., Alzheimer's disease, frontotemporal dementia, dementia with Lewy bodies, vascular dementia, other dementia). MCI can be concurrently diagnosed with MBI.
- 

Abbreviations: ISTAART, International Society to Advance Alzheimer's Research and Treatment; MBI, mild behavioral impairment; MCI, mild cognitive impairment.

# MBI: accelerated onset of dementia

## *ISTAART* criteria

- 739 with MCI to dementia (NACC cohort)
  - MBI v. no MBI: OR 2.13
- 820 with MCI to dementia (French clinic)
  - MBI v. no MBI: OR 2.76
- 2,853 with MCI to dementia (Japanese cohort)
  - MBI v. other psych: OR 8.07
- 2,769 CU with SCD to CDR>0 (Canadian cohort)
  - MBI v. no MBI: OR 8.15



**Might we prevent AD  
dementia by targeting MBI?**

**How do we target MBI?**

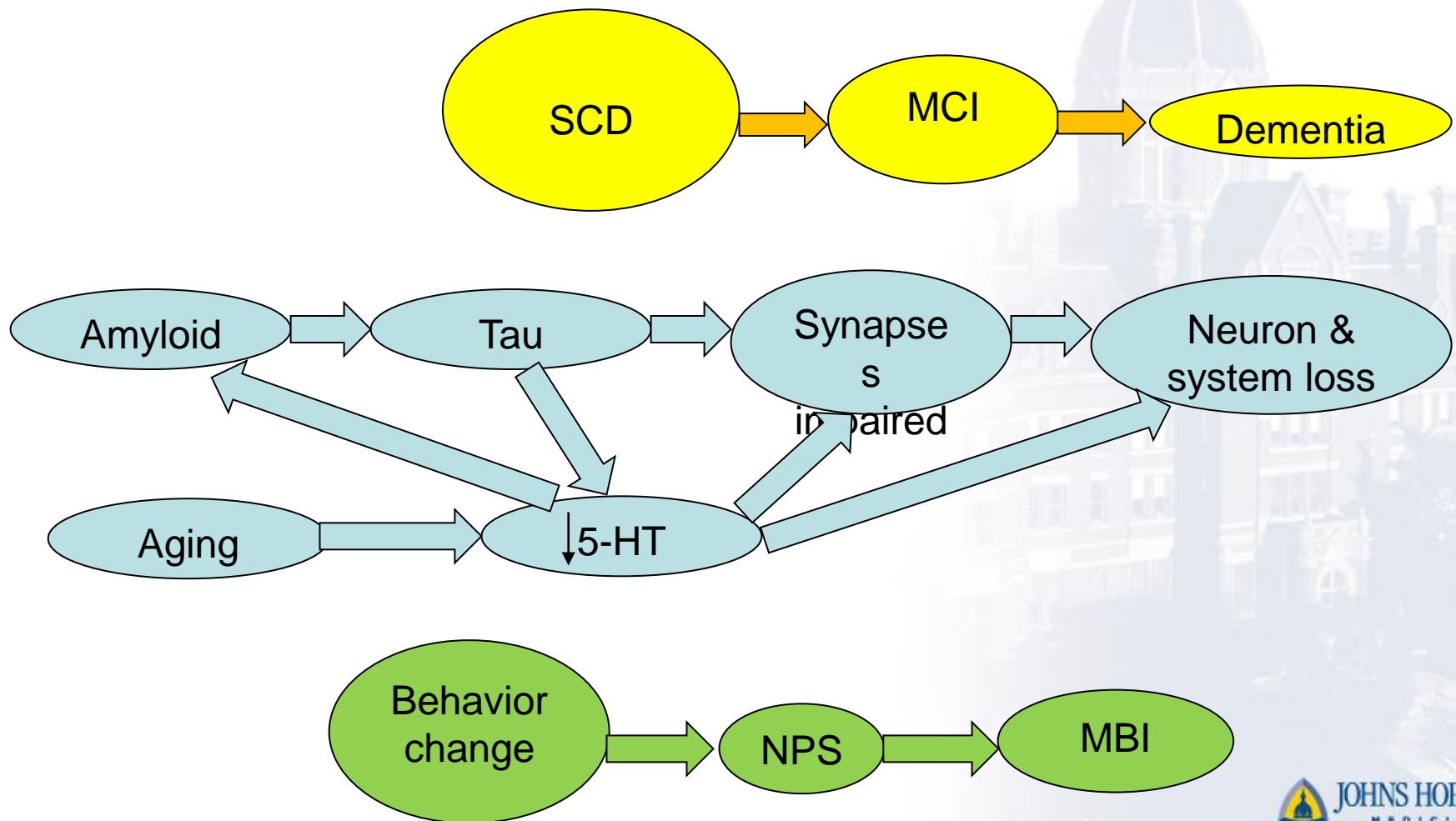
# Pathophysiology of MBI

complex interplay of aging, ATN, SER loss

- NPS/MBI linked to
  - Monoamine, esp. *SER* system loss
  - *ATN* pathology
- Aging leads to monoamine, *SER* system loss
- *ATN* pathology accelerates *SER* system loss
- *SER* system loss accelerates amyloid pathology

# Pathophysiology of MBI

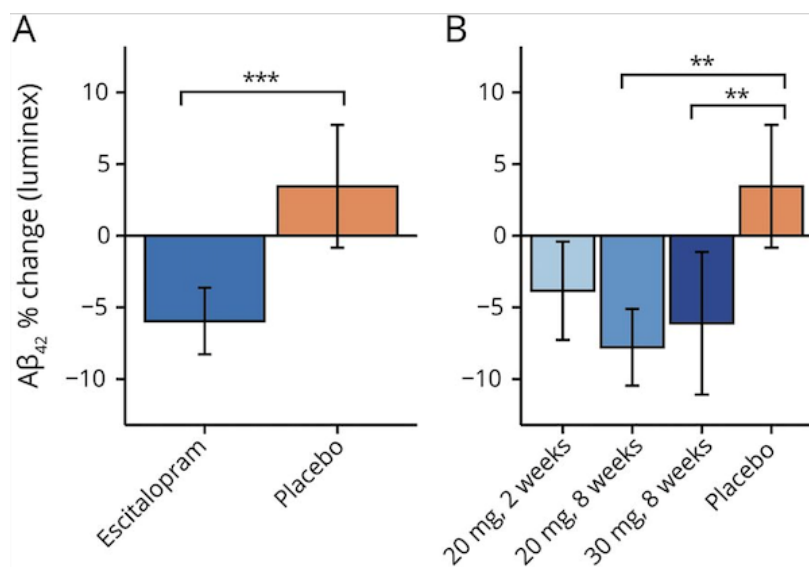
*complex interplay of aging, ATN, SER loss*





**Is there a treatment that  
targets both *ATN* and *SER*  
pathology and that can  
reduce MBI symptoms?**

# Escitalopram: SSRI



## Research

### Original Investigation

## Effect of Citalopram on Agitation in Alzheimer Disease The CitAD Randomized Clinical Trial

Anton P. Porsteinsson, MD; Lea T. Drye, PhD; Bruce G. Pollock, MD, PhD; D. P. Devanand, MD; Constantine Frangakis, PhD; Zahinoor Ismail, MD; Christopher Marano, MD; Curtis L. Meinert, PhD; Jacobo E. Mintzer, MD, MBA; Cynthia A. Munro, PhD; Gregory Pelton, MD; Peter V. Rabins, MD; Paul B. Rosenberg, MD; Lon S. Schneider, MD; David M. Shade, JD; Daniel Weintraub, MD; Jerome Yesavage, MD; Constantine G. Lyketsos, MD, MHS; for the CitAD Research Group

**CONCLUSIONS AND RELEVANCE** Among patients with probable Alzheimer disease and agitation who were receiving psychosocial intervention, the addition of citalopram compared with placebo significantly reduced agitation and caregiver distress; however, cognitive and cardiac adverse effects of citalopram may limit its practical application at the dosage of 30 mg per day.

**TRIAL REGISTRATION** clinicaltrials.gov Identifier: NCT00898807

JAMA. 2014;311(7):682-691. doi:10.1001/jama.2014.93

Sheline 2020; Sheline 2014; Cirrito 2020; Posteinsson 2014

# Might escitalopram prevent dementia?

## YES in people with MBI



### HHS Public Access

Author manuscript

*Int J Geriatr Psychiatry*. Author manuscript; available in PMC 2019 January 01.

Published in final edited form as:

*Int J Geriatr Psychiatry*. 2018 January ; 33(1): 200–211. doi:10.1002/gps.4709.

## Decreasing hazards of Alzheimer's disease with the use of antidepressants: mitigating the risk of depression and apolipoprotein E

**Method:** Data sets from 755 currently nondepressed participants from the longitudinal Alzheimer's Disease Neuroimaging Initiative were evaluated by Kaplan-Meier analysis and analyses of variance and covariance with ApoE4 status and age as covariates.

served between treatment groups.

**Conclusions:** Long-term SSRI treatment may delay progression from MCI to Alzheimer's dementia.

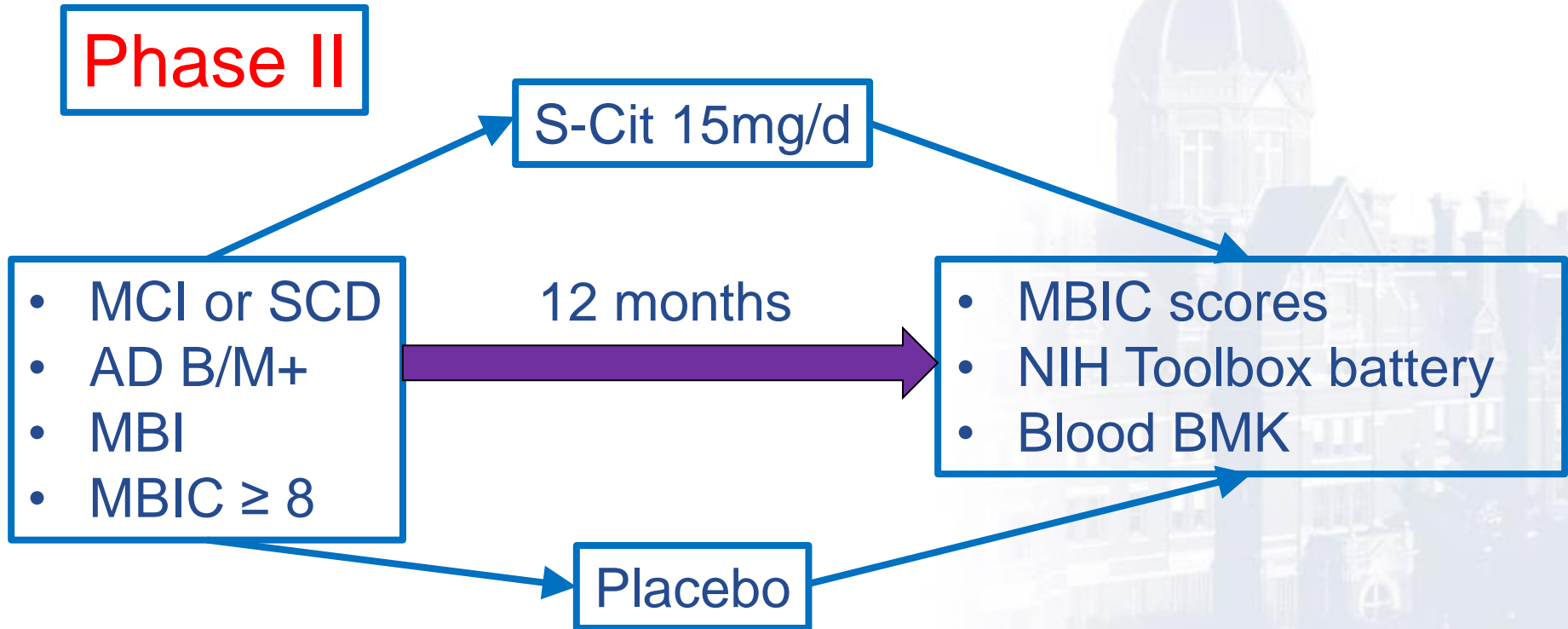
*AJP in Advance* (doi: 10.1176/appi.ajp.2017.17040404)

# Design issues

- Primary aim: improve MBI
- SCD or MCI
- Blood AD biomarkers present
- Moderate+ MBI
- Escitalopram dose: 15mg/day
- Duration: 12 months
- Effects on cognition and biomarkers

# Proof of concept trial design

## Phase II



**Time to find out if we can  
prevent AD dementia by  
targeting MBI!**





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BAYVIEW MEDICAL CENTER



**JOHNS HOPKINS**  
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Richman Family Precision Medicine  
Center of Excellence in Alzheimer Disease



**Thank you!**  
**Ευχαριστώ!**



**Questions &  
Discussion**



**JOHNS HOPKINS**  
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# Serotonin system loss in AD

## early but not universal

- Pathology
  - Loss of dorsal raphe cells early in AD
  - Loss of cortical serotonin projections early
  - Loss of SERT by PET imaging
- Loss of serotonin impairs memory function
- Serotonin regulates Abeta & tau levels
- In AD models loss of 5-HT precedes Abeta

# Pathophysiology of MBI

## links to *ATN*

- Lower CSF Abeta, higher tau/A $\beta$ 42, t-tau/A $\beta$ 42
- Decreasing CSF A $\beta$ 42, A $\beta$ 42/40
- Increasing p-tau, t-tau, p-tau/A $\beta$ 42, t-tau/A $\beta$ 42
- Higher amyloid-PET SUVR
- Higher tau-PET SUVR
- Entorhinal & hippocampal atrophy

# Pathophysiology of MBI

## links to serotonin system loss

- Pathologically NPS linked to
  - Loss of cortical serotonin innervation
  - Serotonin-cholinergic imbalance
  - Lower cell counts in *Dorsal Raphe*
- *Absent* serotonin pathology → absent NPS
- In AD models loss of 5-HT precedes NPS

Palmer 1996; Chen 1996; Lai 2003; Garcia Alloza 2005; Zweig 1988;  
Forstl 1994; Liu 2008